

Recall the following Theorem from last lecture:

**Theorem 0.1.** *Let  $f : X \rightarrow Y$  be a map of schemes. Then the following are equivalent:*

- (1) *For all open affines  $\text{Spec } B \subseteq Y$  and all open affines  $\text{Spec } A \subseteq f^{-1}(\text{Spec } B)$  the ring  $A$  is a finitely generated  $B$ -algebra.*
- (2) *There exists an open cover  $\{\text{Spec } B_i\}$  of  $Y$  and an open cover  $\{\text{Spec } A_{ij}\}$  of  $f^{-1}(\text{Spec } B_i)$  for each  $i$  such that each  $A_{ij}$  is a finitely generated  $B_i$  algebra (for all  $i$ ).*

*Proof.* It suffices to prove that (2) implies (1). Since for  $b_i \in B_i$  we have  $f^{-1}(\text{Spec}(B_i)_{b_i})$  covered by  $\text{Spec}(A_{ij})_{b_i}$ , so the hypothesis is inherited by basic opens of  $\text{Spec } B_i$ . To reduce to the case  $Y = \text{Spec } B$  we must cover  $\text{Spec } B$  by basic opens  $\text{Spec } B_b$  that are also basic opens  $\text{Spec}(B_i)_{b_i}$  of  $\text{Spec } B_i \subseteq Y$ .

Let us prove the more general result that if  $X$  is a scheme and  $\text{Spec } R, \text{Spec } R'$  are affine opens in  $X$  with  $x \in \text{Spec } R \cap \text{Spec } R'$  then there exists an open affine  $U \ni x$  in  $\text{Spec } R \cap \text{Spec } R'$  that is a basic open set of  $\text{Spec } R$  and  $\text{Spec } R'$ .

Since  $\text{Spec } R \cap \text{Spec } R'$  is open in  $\text{Spec } R'$ , we can find a basic open set  $U' = \text{Spec } R'_{r'}$  contained in  $\text{Spec } R \cap \text{Spec } R'$  and containing  $x$ . Since any basic open of  $U'$  is of the form  $\text{Spec } R'_{r'_s}$ , we see that any basic open of  $U'$  is also a basic open in  $\text{Spec } R'$ . Thus we can replace  $\text{Spec } R'$  by  $\text{Spec } R'_{r'}$ , so that we must now prove:

Given  $\text{Spec } R' \subseteq \text{Spec } R$  there exists a basic open  $\text{Spec } R_r$  of  $\text{Spec } R$  containing  $x$  and lying inside  $\text{Spec } R'$  that is also a basic open in  $\text{Spec } R'$ . However, the containment  $\text{Spec } R' \subseteq \text{Spec } R$  gives a map  $\varphi : R \rightarrow R'$ . Pick  $r$  so that  $\text{Spec } R_r$  is contained in  $\text{Spec } R'$ . Then we claim that  $\text{Spec } R_r = \text{Spec } R'_{\varphi(r)}$ . But we have

$$\text{Spec } R_r = \{x \in \text{Spec } R : r(x) \neq 0 \text{ in } k(x)\} = \{x \in \text{Spec } R' : \varphi(r)(x) \neq 0 \text{ in } k(x)\} = \text{Spec } R'_{\varphi(r)}$$

simply by virtue of the containments  $\text{Spec } R_r \subseteq \text{Spec } R' \subseteq \text{Spec } R$ .

Returning to the proof of the theorem, we have just shown that we can cover  $\text{Spec } B$  by basic opens  $\text{Spec } B_b = \text{Spec}(B_i)_{b_i}$  that are also basic opens of  $\text{Spec } B_i$ , so we have reduced to the case  $Y = \text{Spec } B$ . Now let  $\text{Spec } A \subseteq f^{-1}(\text{Spec } B)$ . We have assumed that we have a covering  $\text{Spec } A_i$  of  $f^{-1}(\text{Spec } B)$  with each  $A_i$  a finitely generated  $(B_i)_{b_i} \simeq B_b$  algebra, and hence a finitely generated  $B$ -algebra. But by the above trick, we obtain a covering of  $\text{Spec } A$  by basic open affines  $\text{Spec } A_{a_i} = \text{Spec}(A_i)_{\alpha_i}$  such that each  $A_{a_i}$  is a finitely generated  $B$ -algebra (since each  $(A_i)_{\alpha_i} = A_i[1/\alpha_i]$  is). We are thus reduced to the following:

Let  $B \rightarrow A$  is a map of rings with  $a_1, \dots, a_n$  in  $A$  generating the unit ideal. If each  $A_{a_i}$  is a finitely generated  $B$ -algebra, so is  $A$ . To see this, let  $x_1, \dots, x_n \in A$  be such that  $\sum x_i a_i = 1$  and let  $A_{a_i} = B[z_{i,1}/a_i^N, \dots, z_{i,n_i}/a_i^N]$ . Then  $A' = B[a_i, x_j, z_{k,l}]$  is a finitely generated  $B$  sub-algebra of  $A$  with the evident property that  $A_{a_i} = A'_{a_i}$ . For any prime ideal  $\mathfrak{p}$  of  $A$ , there exists some  $a_i$  with  $a_i \notin \mathfrak{p}$  so that  $A_{\mathfrak{p}}$  is a further localization of  $A_{a_i}$ , from which it follows that  $A_{\mathfrak{p}} = A'_{\mathfrak{p}}$  for all  $\mathfrak{p} \in \text{Spec } A$ . Hence  $A = A'$ , as required. ■

**Theorem 0.2.** *Let  $f : X \rightarrow Y$  be a map of schemes. Then the following are equivalent:*

- (1) *For all quasi-compact open  $U \subseteq Y$  we have  $f^{-1}(U)$  quasi-compact.*
- (2) *There exists an open covering  $\text{Spec } B_i$  of  $Y$  such that  $f^{-1}(\text{Spec } B_i)$  is covered by finitely many open affines  $\text{Spec } A_{ij}$ .*

**Definition 0.3.** Any morphism satisfying these equivalent conditions will be called *quasi-compact*.

*Proof.* Assuming (2), let  $U \subseteq Y$  be quasi-compact and cover  $U$  by finitely many open affines  $\text{Spec } C_\alpha$ . Then since  $f^{-1}(U)$  is covered by the  $f^{-1}(\text{Spec } C_\alpha)$ , it suffices to show that each  $f^{-1}(\text{Spec } C_\alpha)$  is quasi-compact, that is, we reduce to the case of affine  $U = \text{Spec } C$ . Now we can cover  $\text{Spec } C$  by finitely many sets of the form  $\text{Spec}(B_i)_{b_i}$  since  $\text{Spec } B_i$  cover  $Y$ . We must show that  $f^{-1}(\text{Spec}(B_i)_{b_i})$  is quasi-compact given that  $f^{-1}(\text{Spec } B_i)$  is. But we have

$$f^{-1}(\text{Spec}(B_i)_{b_i}) = \bigcup \text{Spec}(A_{ij})_{b_i}$$

(where the union is finite), where  $f^{-1}(\text{Spec } B_i) = \cup \text{Spec } A_{ij}$ . Thus  $f^{-1}(\text{Spec}(B_i)_{b_i})$  is quasi-compact. ■

**Definition 0.4.** A morphism of schemes  $f : X \rightarrow Y$  is *finite type* if it is quasi-compact and locally of finite type, or equivalently, if every open affine  $\text{Spec } B \subseteq Y$  has  $f^{-1}(\text{Spec } B)$  covered by finitely many open affines  $\text{Spec } A_{ij}$  with each  $A_{ij}$  a finitely generated  $B_i$ -algebra.

**Corollary 0.5.** If  $X \xrightarrow{f} Y \xrightarrow{g} Z$  with  $f, g$  locally of finite type (resp. quasi-compact) then  $g \circ f : X \rightarrow Z$  is locally of finite type (resp. quasi-compact).

*Proof.* We prove the assertion for locally of finite type as the proof for quasi-compact is similar. Let  $\text{Spec } C \subseteq Z$  be open affine. Then  $g^{-1}(\text{Spec } C)$  is covered by  $\text{Spec } B_i$  with each  $B_i$  a finitely generated  $C$  algebra. Similarly, since  $f$  is locally of finite type, each  $f^{-1}(\text{Spec } B_i)$  is covered by  $\text{Spec } A_{ij}$ , with  $A_{ij}$  a finitely generated  $B_i$ -algebra. Thus  $f^{-1}g^{-1}(\text{Spec } C)$  is covered by  $\text{Spec } A_{ij}$  with  $A_{ij}$  a finitely generated  $C$ -algebra for all  $i, j$ . ■

**Lemma 0.6.** Let  $X$  be a scheme. Then the following are equivalent:

- (1)  $\mathcal{O}_X(U)$  is reduced for all  $U \subseteq X$ .
- (2) There exists an open affine cover  $\text{Spec } A_i$  of  $X$  with each  $A_i$  reduced.
- (3) For all  $x \in X$ , the ring  $\mathcal{O}_{X,x}$  is reduced.

*Proof.* Clearly (1) implies (2). Since  $\mathcal{O}_{X,x}$  is a localization of  $\mathcal{O}_X(U)$  for any  $U \ni x$ , and since the localization of the nilradical of a ring is the nilradical of the localization, we see that  $\mathcal{O}_{X,x}$  is reduced if  $\mathcal{O}_X(U)$  is. Finally, because of the injection

$$\mathcal{O}_X(U) \hookrightarrow \prod_{x \in U} \mathcal{O}_{X,x},$$

we see that if each  $\mathcal{O}_{X,x}$  is reduced, so is their product, and hence  $\mathcal{O}_X(U)$  is reduced as well. ■